

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Device and Method for Preparing Plastic Material, in particular Thermoplastic Material,

the specification of which ☒ is attached hereto or ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

| COUNTRY             | APPLICATION NUMBER | DATE OF FILING | PRIORITY CLAIMED UNDER 35 U.S.C. 119                                |
|---------------------|--------------------|----------------|---|
| Austria             | 992/99 ✓           | June 2, 1999 ✓ | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| International (PCT) | PCT/AT 00/00146 ✓  | May 24, 2000 ✓ | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |

I claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| APPLICATION SERIAL NO. | DATE OF FILING | STATUS  |
|------------------------|----------------|---|
|                        |                | <input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned |
|                        |                | <input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned |

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) who are partners and associates in the firm of Townsend and Townsend to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

|                         |   |
|-------------------------|---|
| SEND CORRESPONDENCE TO: | DIRECT TELEPHONE CALLS TO:<br>(name, registration number, and telephone number) |
|-------------------------|---|

|             |  |  |   |                                    |  |
|-------------|--|--|---|------------------------------------|--|
| 1-00<br>201 | FULL NAME OF INVENTOR<br>RESIDENCE & CITIZENSHIP | Last Name<br><b>BACHER</b><br>City<br><b>St. Florian ATX</b> | First Name<br><b>Helmut</b><br>State or Foreign Country<br><b>Austria</b> | Middle Name or Initial             | Country of Citizenship<br><b>Austria ✓</b> |
|             | POST OFFICE ADDRESS                              | Post Office Address<br><b>Bruck/Hausleiten 17</b>            | City<br><b>St. Florian</b>  | State or Country<br><b>Austria</b> | Zip Code<br><b>A-4490</b>                  |
| 2-00<br>202 | FULL NAME OF INVENTOR<br>RESIDENCE & CITIZENSHIP | Last Name<br><b>SCHULZ</b><br>City<br><b>St. Florian ATX</b> | First Name<br><b>Helmut</b><br>State or Foreign Country<br><b>Austria</b> | Middle Name or Initial             | Country of Citizenship<br><b>Austria ✓</b> |
|             | POST OFFICE ADDRESS                              | Post Office Address<br><b>Badstraße 20</b>                   | City<br><b>St. Florian</b>  | State or Country<br><b>Austria</b> | Zip Code<br><b>A-4490</b>                  |
| 3-00<br>203 | FULL NAME OF INVENTOR<br>RESIDENCE & CITIZENSHIP | Last Name<br><b>WENDELIN</b><br>City<br><b>Linz ATX</b>      | First Name<br><b>Georg</b><br>State or Foreign Country<br><b>Austria</b>  | Middle Name or Initial             | Country of Citizenship<br><b>Austria ✓</b> |
|             | POST OFFICE ADDRESS                              | Post Office Address<br><b>Waldbothenweg 84</b>               | City<br><b>Linz</b>   | State or Country<br><b>Austria</b> | Zip Code<br><b>A-4033</b>                  |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

|   |   |  |
|---|---|--|
| Signature of Inventor 201<br><i>Helmut Bacher</i> | Signature of Inventor 202<br><i>Helmut Schulz</i> | Signature of Inventor 203<br><i>Georg Wendelin</i> |
| Date<br>September 28, 2001                        | Date<br>September 28, 2001                        | Date<br>September 28, 2001                         |

USA  
MAY 1965

Applicant : Helmut BACHER, Helmuth SCHULZ and Georg WENDELIN  
Serial No. :  
Filed :  
Title : Device and Method for Preparing Plastic Material,  
in particular Thermoplastic Material

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) and 1.27(b)  
INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, U.S.C., to the Patent and Trademark Office with regard to the invention entitled Device and Method for Preparing Plastic Material, in particular Thermoplastic Material

described in the specification filed, herewith

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the U.S. Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Helmut SCHULZ

By

Helmut BACHER

Georg WENDELIN

Dated: September 28, 2001